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**EDITORIAL** 

## Guidelines for Adjuvant Therapy in Gall Bladder and Bile **Duct Carcinomas**

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## **ABSTRACT**

Biliary tract cancers are rare cancers that arise from epithelial lining of gallbladder and bile ducts. Gallbladder cancer (GBC) is the most common cancer of the biliary tract and accounts for two thirds of the cases, whereas bile duct cancer accounts for the remaining onethird. Although anatomically these malignancies are related and have similar metastatic patterns, each has a distinct clinical presentation, molecular pathology, and prognosis. Radical surgery offers the only chance of cure, given their proximity to the complex anatomy of porta hepatis, only one third of cases at presentation are resectable, after radical resection relapse is often very frequent. Pattern of recurrence is typically local, although first relapse at a distant site is not uncommon. With high rates of relapse, strategy aimed at

optimizing local control with postoperative radiation alone or in combination with chemotherapy or optimizing systemic recurrence with chemotherapy have potential to improve treatment outcomes. Evidence for use of adjuvant therapy for these rare tumors is fragmentary and has not been evaluated in prospective randomised controlled trials. On basis of uncontrolled institutional series and registry analyses adjuvant approach is favoured and used in up to 70% of centres worldwide. However there is lack of consensus regarding optimal use of post operative therapy, in the present article we review and present pertinent data for use of adjuvant radiotherapy, chemo-radiotherapy and chemotherapy for intrahepatic, extrahepatic cholangiocarcinomas and carcinoma of the gall bladder and propose guidelines for use of adjuvant therapy based upon retrospective data.

Key words: Gall bladder cancer, Cholangiocarcinomas, Adjuvant therapy, Concurrent Chemo-Radiotherapy, Radiotherapy, Chemotherapy

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### INTRODUCTION

## Cholangiocarcinomas

Cholangiocarcinomas are rare carcinoma<sup>[1]</sup>, comprising less than 10% of primary malignancies of liver; occur frequently in fifth and sixth decades of life. Cholangiocarcinomas can arise at any site (intra or extrahepatic) of biliary system. Hilar cholangiocarcinomas are most common (67%), followed by distal cholangiocarcinoma (27%), and then intrahepatic cholangiocarcinoma (6%)[2]. Cholangiocarcinoma is more prevalent in Southeast-Asia than in other parts of world. Clinical features are nonspecific and depend on the location of the tumor, usual clinical presentation can be painless jaundice, fatigue, pruritus, fever, vague abdominal pain, and anorexia. Overall, these are highly lethal cancers, with reported 1- and 2-year survival of 25% and 13%, respectively[3].

# Extrahepatic bile duct cancer Surgery

Extrahepatic bile duct carcinoma (EHBC) is a rare malignancy with devastating outcome. These tumors are usually classified as upper, middle, or distal bile duct cancer; however, tumors are rarely confined to one segment. They have propensity to spread along bile duct system; locally by direct extension into adjacent structures, regional spread commonly involves pericholedochal, peripancreatic, hilar, cystic lymph nodes and distant spread occurs to liver and peritoneum. Surgical resection offers the only chance of cure. Overall survival (OS) in inoperable is less than 6 months and 5-year survival rate is 0%. Prognosis usually depends on tumor location and extent of resection. Pancreatoduodenectomy is generally performed in cases of distal and mid third cancer whereas bile duct resection, with or without hepatectomy is performed for proximal third cancer, all accompanied by regional lymphadenectomy. It is increasingly evident that margin-negative resection, often requiring hepatic resection, is only potentially curative procedure. Reported 5-year survival rates of extrahepatic bile duct cancer are 20%-30%[2, 4-6], recurrence beyond 5 years are not uncommon<sup>[4]</sup>. Tumor location and performance of a complete resection have significant effect on prognosis. Even with optimal margins, two of three patients experience recurrence within 2 years, and 60% of these recurrences are loco-regional<sup>[2-6]</sup>.

### **Adjuvant Radiotherapy**

Given the dismal outcome after surgery, postoperative radiotherapy (RT) has been advocated to improve treatment outcome although benefit of RT is not well established. Studies assessing adjuvant radiotherapy show inconsistent results<sup>[7–14]</sup>, small retrospective series demonstrate that RT significantly improved survival in margin-positive disease<sup>[13]</sup> while others series have failed to show benefit of RT<sup>[11]</sup>. Role of RT following margin-negative resection is even less clear.

Retrospective series comparing surgery alone versus post operative

radiotherapy have been highlighted in Table 1. Use of higher radiation dose and addition of brachytherapy have been proposed as potential contributors to improved survival for these rare tumors. Increasing dose of RT has been associated with prolongation of survival (MS 4.5 months, 9 months, 18 months and 25 months for RT doses of < 45Gy, 45Gy-55Gy, 55Gy-65Gy, 66-70Gy, respectively) <sup>[9]</sup>. Patients subjected to > 55Gy RT dose have experienced better survival than those receiving < 55Gy (2-year OS 48% vs. 0% and MS 24 months vs 6 months).

In absence of prospective randomized controlled trials addressing role of post-operative RT we highlight the role of RT within large population based series. Results of large retrospective series evaluating adjuvant RT have been briefly mentioned in table 2. In a large cohort of patients within SEER database RT was not associated with improvement in survival<sup>[15]</sup>. However in the study more patients with poor prognosis (nodal metastasis & other highrisk features) were subjected to the RT arm and on adjustment of these factors on multivariate analysis adjuvant RT was independently associated with improved OS. Interestingly, the initial positive effect of RT was lost on longer follow up & RT had negative impact on survival. This detrimental effect on survival with RT was for patients treated before 1990 and was limited to patients with Stage I disease. Less-advanced radio-therapeutic techniques at that time coupled with selection bias from variables that remained unknown (lack of information on extent of surgery, margin status) could have resulted in discrepancies in observed results. Another large retrospective<sup>[16]</sup> demonstrated improved survival with use of RT (MS 16 versus 9 months). On multivariate analysis RT not associated with improved OS, multivariate analysis of this study had few limitations as it did not stratify patients by margin status and only limited numbers of variables were considered for analysis (i.e., age, gender, race, SEER location, and year of diagnosis). Results from recent meta-analysis[17] that included patients treated with modern RT techniques and that had information on margin status for resected EHBC, showed that

Table 1 Comparison of outcomes with and without use of adjuvant radiotherapy

Study	Year	No	Sx alone	Sx+RT	RT DOSE median	MS Surgery	Median Survival Sx+RT	comment
Hanna et al[7]	1978	17	_	_	40Gy	Median os 12 mo		RT showed glimmer of hope in an otherwise desolate field.
Schoenthaler et al[8]	1994	107	62	45	54Gy	6.5 mo	11mo; R1 resection; p = 0.0005; R2 resection; p = 0.05.	Postop RT associated with significant survival advantage with positive microscopic and gross residual disease
Alden et al[9]	1994	48	24	24	46Gy+; 25Gy; I192	5.5 mo	12 mo; p = 0.0003.	Dose response with RT 2-year survival of 48%vs0% for patients treated with >55Gy or < 55Gy.
Alden et al[10]	1995	81	22; 15	34; 10	54Gy	6 mo; 68 mo	17 mo, P = 0.01; 68 mo.	Distal cancer survived better than proximal 53%vs13% at 5 yrs. MS proximal cancer after RT was more than double that without RT.
Pitt et al[11]	1995	50	27	23	46 Gy	15 mo	14 mo	For localized perihilar cholangiocarcinoma, resection prolongs survival whereas radiation has no effect on either survival/late toxicity
Kamada et al[12]	1996	91	20	71		8 mo; R1 Disease; 3yr OS 0%.	24 mo; R1 Disease; 44%; p < 0.001.	Survival advantage with positive margins disease.
Todoroki et al[13]	2000	66	19	47	43Gy; EBRT+21Gy ILRT	13.5%; @5 yr.	33.9%; @5yr; p = 0.014.	Local-regional control significantly higher with RT (79.2%vs31.2%). Improved with use of adjuvant RT in R1 disease with acceptable treatment mortality and morbidity.
Heron et al[14]	2003	62	22	40	(ILRT 25Gy+ EBRT 46Gy)	Distal; MS not reached. Proximall; MS; 24mo.	62.5 mo; 17 mo; P = 0.007.	Improved OS in proximal tumors adjuvant RT. For distal tumors addition of RT appears to offer an advantage.

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delivery of postoperative RT was associated with improved OS, benefit of RT remained significant despite the fact that more than 50% of patients in RT cohorts had lymph nodes metastasis and/or involved resection margins (69% vs 31%). Modern RT was tolerated well and was associated with low toxicity (2–9% late obstruction/ GI bleeding. In absence of large prospective randomised trials, meta-analysis is reasonable option to generate high level evidence; this recently published meta-analysis confirms the benefit in survival with use of modern RT techniques for EHBC.

#### Adjuvant Chemo-radiotherapy

Concurrent chemo-radiotherapy (CRT) has proven efficacy against other gastrointestinal cancers, but only few reports have evaluated adjuvant CRT for EHBDC<sup>[18-22]</sup>. Recent series highlighting the role of CRT have been mentioned in Table no 3, results within these series suggest that adjuvant CRT might effectively control loco-regional disease and improve patient survival, with low toxicity levels. Five year local control in these series ranges from 62%-78%, 5 year disease free survival is 26%-37% and 5 year survival is to the tune of 30%-40%<sup>[18-22]</sup>. Although there is paucity of prospective randomised trials to support benefit of CRT but results within these series appear encouraging<sup>[2-14]</sup>. In recent study<sup>[18]</sup> adjuvant CRT was independent prognostic factor for loco-regional control (LRC), disease free survival (DFS) and OS, 5-year LRC, DFS and OS rate were 54.8%, 30.6%, and 33.9%, respectively. These findings have been further confirmed within a meta-analysis<sup>[23]</sup> results of which have been

briefly highlighted in Table 4.

### Adjuvant CRT followed by adjuvant Chemotherapy

A recent single centre study evaluated role of adjuvant CRT with or without further adjuvant chemotherapy. Three year DFS for CRT-alone and CRT followed by adjuvant chemotherapy was 26.6% and 45.2% respectively and 3-year OS was 30.8% and 62.6% respectively<sup>[24]</sup>. Although at present there is paucity of literature for combining chemotherapy to concurrent chemo-radiotherapy to further improve outcomes, guiding factors for such treatment selection may include good general and nutritional status coupled with good compliance to concurrent chemo-radiotherapy course.

Summary & guidelines for adjuvant therapy for extra-hepatic cholangiocarcinomas: (1) Adjuvant RT traditionally used in patients with adverse prognostic features whereas smaller tumors, margin negative with no LN involvement often treated with surgery alone. There is some evidence that older RT techniques are associated with detrimental effect on survival especially in early stage disease. (2) Use of Modern radiotherapy is associated with improvement in survival, adjuvant RT indicated for margin positive disease and lymph node metastasis. (3) CRT is the preferred adjuvant treatment of choice (more efficacious than RT alone) indicated in margin positive and lymph node metastasis, CRT may be considered for locally confined disease with at least T2 or higher stage.

Table 2 Large Retrospective Data Analyzing Adjuvant Radiotherapy in Extra-hepatic Cholangiocarcinomas

Study	Year	N	Analysis	Surgery Alone	Sx+RT	Median OS SX	Median OS RT	P value	Comments
Tamara et al[15]	2011	1491	1973-2003	1081	473	36 mo	28 mo	0.38	Older studies from 1973 using primitive RT techniques included, Adjuvant RT associated with worse outcomes. More patients in RT arm had node positive disease.
Shinohara et al[16]	2011	4758	1988-2003	1379	713	9 mo	16 mo	p < 0.0001	Only recent studies included, surgical details available, Adjuvant RT in large cohort associate with improved survival; however on Cox proportional hazard model adjuvant RT not associated with significant improvement in survival. Margin status mot available.
Beltran et al[17]	2011	858	1995-2008	458	400	_	_	p < 0.001; HR0.62; 95%CI 0.48-	Only large study that evaluated margin status, modern series using 3D- conventional RT techniques evaluated, Adjuvant RT associated with improved survival despite RT cohorts had more frequently positive margins (69%vs31%, $p$ < 0.001). Late RT induced toxicity low (2-9% obstruction/GI bleeding)

Table 3 Adjuvant Chemo radiation in Cholangiocarcinomas.

Study	Year	N	R0	R1	R2	N1	RT DOSE (median)	CCRT (%)	5yr OS (%)	5yr DFS (%)	5yr LC (%)
Kim et al[18]	2002	84	47	25	12	27	40	85	31	26	_
Hughes et al[19]	2007	34	25	9	0	28	50.4	100	35	30	70
Nelson et al[20]	2008	45	36	6	3	11	50.4	98	33	37	78
Borghero et al[21]	2008	42	15	27	0	19	45	100	36	34	62
Jin-Hong et al[22]	2009	81	52	37	0	43	50	83	39	30	70

Table 4 Result from meta-analysis evaluating adjuvant chemotherapy, radiotherapy, or both for Biliary Neoplasm.

N	7612								
Year	1960-2010								
Site	Bile duct &GBC								
OS(Bile duct+ GB cancer). Sx alone adjuvant therapy	Adjuvant therapy improved trend in OS. Odds Ratio(OR), $0.74(95\%CI, 0.55-1.01; p = 0.06)$ . High risk group significant benefit of adjuvant therapy. LN-positive disease (OR, $0.49; p = 0.004$ ). R1 disease (OR, $0.36; p = 0.002$ ).								
OS (Bile duct carcinoma). Sx alone adjuvant therapy	Bile ducts: OR 0.71 (95%CI, 0.46-1.07; <i>p</i> = 0.10).								
OS(GB cancer). Sx alone adjuvant therapy	Gallbladder: OR, 0.81 (95%CI, 0.49-1.35; p = 0.41)								
US benefit by modality	CT OR 0.39 (95%CI, 0.23-0.66; $p = 0.001$ ). CRT OR 0.61 (95%CI, 0.38-0.99; $p = 0.049$ ). RT alone 0.98 (95%CI, 0.67-1.43; $p = 0.90$ )								

### **Intrahepatic Cholangiocarcinomas**

Intrahepatic cholangiocarcinoma (IHC) is a rare malignant tumor with a rising incidence (not solely explained by reclassification and improved detection)<sup>[22, 25]</sup>. IHC spreads along sinusoids, duct wall and periductal tissue, it may be confined to duct as intraductal growth, can spread along Glisson's sheath, cause permeation of portal connective tissue and cause vascular involvement. Sinusoidal invasion and portal vein invasion are the most frequent mode of intrahepatic spread. IHC is associated with early lymphatic spread; rate of metastasis to hilar lymph nodes is about 50%<sup>[25]</sup>.

### Surgery

Surgery is only choice of curative therapy. IHC has no characteristic symptoms at its early stage and is often diagnosed in advanced stage. Consequently, respectability rates are usually low, extended hepatectomy possibly in combination with resection of other structures (e.g. extrahepatic bile duct, portal vein and inferior vena cava) along with radical lymphadenectomy. Prognostic factors for IHC are tumor number, vascular invasion, and LN metastasis whereas tumor size provides no prognostic information. Aggressive surgical strategies significantly increase survival<sup>[3, 26-28]</sup>, radical resection of cholangiocarcinomas over past 10 years has resulted in increased proportion of patients with negative resection margins and a consequent improvement in 5-year survival<sup>[26, 29]</sup>. Even after surgical techniques and improved postoperative management, extended hepatectomy still entails significant morbidity and mortality, most

**Table 5** Adjuvant Radiotherapy Intra-hepatic Choangiocarcinomas results within largest series ever published.

N	3839					
Surgery Alone	25%					
Radiotherapy Alone	10%					
Sx+RT	7%					
No treatment	58%					
Median Age	73 years (22–102years)					
	Sx+RT 11 mo (95% CI 9-13mo)					
Median OS	Sx-Alone 6 mo(95% CI 5-6mo)					
iviedian 03	R-Alone 7 mo(95% CI 6-8mo)					
	No Treatment 3 mo					
	Sx+RT: HR, 0.40; 95%CI, 0.34-0.47					
Multivariate analysis	(maximum benefit)					
with the analysis	Sx-Alone: HR, 0.49; 95%CI, 0.44-0.54					
	RT-Alone: HR, 0.68; 95%CI, 0.59-0.77					
OS Sx+RT with respect Sx Alone	HR, 0.82; 95% CI, 0.70-0.96					
OS RT-alone versus No treatment	HR, 0.67; 95% CI, 0.58–0.76					

surgical series have reported mortality rates lower than 5%, but complication rate is still high (20%-50%)<sup>[27, 30]</sup>. Prognosis for patient with IHC after resection is unsatisfactory. Five year OS rate varies from 14%-36%, and it does not exceed 40% in more recent surgical series<sup>[3, 27, 33]</sup>.

### **Adjuvant Radiotherapy**

Because of the low incidence of IHC, large randomized trials evaluating effectiveness of radiation are not available. Retrospective series demonstrate that adjuvant RT may improve survival in patients with microscopically positive margins<sup>[13, 33-35]</sup>. The largest analysis of IHC performed to date suggests that RT prolongs OS both postoperatively and definitively<sup>[36]</sup>. This analysis of 3,839 patients revealed that patients diagnosed between 1993-1997 and 1998-2003 had improved OS (HR 0.87 & HR 0.55 respectively) compared with those diagnosed between 1988-1992. Survival was better for patients treated with surgery & RT versus surgery alone (11months vs 6 months). Adjuvant RT was associated with 22% reduction in mortality. Findings within the study have briefly been highlighted in Table 5.

### Adjuvant Chemo-radiotherapy

CRT is associated with improved outcomes as compared to adjuvant RT<sup>[18-23]</sup>. Adjuvant CRT in bile duct carcinomas<sup>[18-23]</sup> is indicated for patients with margin and node positive disease & it may be considered for early localised margin negative disease given the

 Table 7 Large series of Adjuvant Radiotherapy Carcinoma Gall Bladder.

	Mojica et al[46]	Wang et al[47]		
Series	SEERdatabase	SEERdatabse		
Period	1992-2002	1988-2003		
N	3187	4180		
Medial Follow up	26mo	_		
Surgery	73%	100%		
Adjuvant RT	20%	18%		
Media OS	Sx+RT 14mo	Sx+RT 15mo		
Media O5	Sx-alone 8mo (p ≤ 0.001)	Sx-alone 8mo ( $p \le 0.001$ )		
	Benefit of RT in nodal disease and local invasion	Adjuvant RT provides a		
	1)Regional disease			
	Median OS improved			
Sub group	Sx Alone 5mo			
Analysis	$Sx+RT\ 16mo(p < 0.0001)$	survival benefit in node- positive or ≥ T2 disease.		
	2)Local Invasion of Liver	positive of 2 12 disease.		
	Median OS			
	Sx 11mo			
	Sx+RT 14mo(p = 0.011)			

 Table 6
 Small Retrospective series for Adjuvant Radiotherapy carcinoma Gall Bladder.

Study	Year	N	RT Dose	OS	Comments		
				Mean OS	C : 11 11 11 11 11 1 1 1 1 1 1 1 1 1 1 1		
Houry S et al[41]	1989	20	42Gy	Stage IV 9.7 mo	Survival better than historic control treated with Sx-alone		
				Stage V 7.2 mo	-5x-aione		
Bosset et al[42]	1989	7	54Gy	Five patients alive without evidence of disease],	RT improves survival, recommend in post-		
bosset et ai[42]	1909	/	34Gy	follow up period range 5-58 mo	operative setting.		
				T1-T2	RT well tolerated can improve local control and		
Maha at al[42]	1994	19	30Gy in 10	median OS 48 mo			
Mahe et al[43]	1774	19	50Gy in 25	Pallative patients	survival.		
				Median OS 6 mo			
Kraybill et al[44]	1994	96	variable	Median OS 11 mo	Improvement in survival in patients undergoing surgery, and radiation dose greater than 40Gy.		
				Sx + RT 5 yr OS 47%			
				Sx-alone 5 yr OS 13%	Tendency to longer survival after adjuvant		
Lindell et al[45]	2003	20		Median OS	radiotherapy although not statistically significant.		
				Sx+RT 29 mo			
				Sx-alone 20 mo			

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increasing mortality trends coupled with high rates of loco-regional failure [25-33].

### Adjuvant Chemotherapy in Cholangiocarcinomas

The role of adjuvant chemotherapy is even less clear. There is some evidence emerging that<sup>[23]</sup> patients receiving chemotherapy may benefit from adjuvant chemotherapy.

## Summary & Guidelines for Adjuvant treatment Intra-hepatic Cholangiocarcinoma

(A) CRT preferred adjuvant treatment of choice & indicated for: (1) Node positive; (2) Margin positive; (3) Consider adjuvant CRT for locally confined (T2/3) node negative disease. (B) Adjuvant RT indicated if patient not fit for CRT, benefit of RT confined to node and margin positive disease. (C) Adjuvant Chemotherapy in margin positive disease after CRT may be considered in selected patients.

### Carcinoma of the Gall Bladder (GBC)

Incidence of GBC steadily increases with age, women are affected more often than men. Three distinct pockets of high incidence are well documented—central and South America, central and Eastern Europe, and Japan. Northern India has one of the highest incidences of GBC in world (9 per 100,000 per year)<sup>[37]</sup>. GBC clinically presents with continuous pain, jaundice, anorexia, and weight loss. Palpable GB mass is advanced and considered unresectable disease. GBC is characterized by local invasion, extensive regional lymph node metastasis, vascular encasement, and distant metastases. Regional lymph involve hepatic hilus (nodes along the common bile duct, hepatic artery, portal vein and cystic duct) whereas celiac, periduodenal, peripancreatic, and superior mesenteric artery node involvement is now considered distant metastatic disease. GBC is

aggressive disease with late presentation, rapid progression, early recurrence, and dismal outcome<sup>[38,39]</sup>.

### Surgery

Surgery remains the only definitively curative therapy however, only 10% of patients with GBC present with early-stage disease and are considered surgical candidates<sup>[40]</sup>. An unusual aspect of GBC management is that it is often diagnosed incidentally after routine cholecystectomy many of these cases require a second, definitive procedure and T stage usually guides operative planning. Carcinomas in situ and carcinomas involving just the submucosal layers can be observed after simple cholecystectomy, however if tumor involves muscle radical cholecystectomy, with removal of 2-3cms of normal liver around gallbladder bed (segments IVB and V) and lymphatics within hepatoduodenal ligament, behind head of the pancreas and along common hepatic artery must be additionally excised. Even after complete resection, loco-regional recurrence rates are high. Pattern of failure after curative resection includes peritoneal spread, hepatic metastases, local extra-hepatic recurrence and distant metastases. Five-year survival in most large series is less than 5%, and median survival is less than 6 months<sup>[38,39]</sup>. Even recent series suggest meagre improvement in median survival from 4 months (1973-1992) to 6 months (1993-2002) with more current therapy<sup>[39]</sup>.

### **Adjuvant Radiotherapy**

Data supporting role of adjuvant RT is sparse and generally limited to small retrospective series and anecdotal reports. Early reports evaluating RT primarily demonstrated that delivery of RT is safe and efficacious<sup>[41,42]</sup>. Small retrospective series have suggested possible benefit to RT<sup>[41-44]</sup>, whereas others have not<sup>[45]</sup>. These series have briefly highlighted in table 6.

Table 8 Adjuvant Chemo-radiotherapy in Carcinoma Gall Bladder.

Series	Year	N	RT dose (median)	Chemo	R0/R1/R2	5yr LC	5yr OS	COMMENT			
Kresl et al[49]	2002	21	54Gy	5-FU	5/4/2012	73%	( )	Results superior to historical controls. 5 yr LC 100% EBRT doses > 54 Gy vs. 65% who received lower dose			
Czito et al[50]	2005	22	45Gy	5-FU	6/4/2012	59%	37%	CRT may improve survival.			
Gold et al[51]	2009	25	50.4Gy	5 FU	25/0/0	78%	145%	On multivariate analysis CRT independent predictor of improved OS (HR0.3; 95%CI0.13–0.69; $p = 0.004$ )			
Kim et al[52]	2012	41	40-50Gy	5 FU	37/8R1+R2	80%	44%	CRT after R0 resection can achieve a good long-term survival rate.			

 $\textbf{Table 9} \ \text{Evidence based Recommendations for adjuvant the rapy in carcinoma of the bile duct and GB cancer.}$ 

	Adjuvant RT	Evidence for RT	Adjuvant CRT	Evidence for CRT	Chemotherapy	Evidence for adjuvant Chemotherapy
EHBDC	Indicated* in: 1. Margin +ve; 2.Node+ve; 3.Benefit in in locally confined (T2/3) margin negative disease uncertain. Improved survival with modern RT techniques.	Metaanalysis17, Large modern retrospective series 16	Indicated* in: 1. Margin +ve; 2.Node+ve; 3. Consider for locally confined (T2/3) margin negative. Improves overall survival for margin positive and node positive. More efficacious than RT alone.	Metaanalysis23, Modern retrospective series 18-22	Indicated for margin positive after CRT	Meta- analysis 23 Retrospective series24
IHBDC	Indicated* same as EHBDC; Improved OS in margin positive disease.	Large retrospective analysis 38	Indicated* in: 1. Margin +ve; 2.Node+ve; 3. Consider for locally confined margin negative. Better OS in margin and node positive disease.	Metaanalysis23, Modern retrospective series 18-22	Insufficient data; margin positive after CRT otherwise	Meta- analysis 23. Retrospective series24
GB carcinoma	Indicated * in: 1. T2 disease and higher disease; 2. Node after radical surgery; 3. Margin +ve (improved survival)	Large retrospective analysis 47-48	Indicated* in: 1. T2 disease and higher disease; 2. Node after radical surgery; 3. Margin +ve (improved survival) more efficacious than RT alone	Meta-analysis, large retrospective series 23,53	May consider for. Node positive & T4 disease (associated with small benefit)	Large retrospective analysis 23,53. Prospective phase III trial 54

\*Dose response with RT (RT alone as well as CRT) has been observed9,49, we recommend to use a dose of 54Gy if patient has good general condition, performance status and dose volume parameters for all critical organs at risk are satisfied else at least a dose of 50.4 Gy is recommended. Modern day Linac based radiotherapy is associated with improved treatment outcomes 17, it is preferable to use Intensity modulated radiotherapy and Image guided radiotherapy to increase coverage of tumor bed and regional lymphatics and decrease dose to adjoining critical structures.

In a large multi-centre study[46] delivery of RT improved survival when compared surgery alone (MS 14 months versus 8 months) despite the fact that patients in RT arm had more advanced disease and fewer stage I disease patients. Benefit of RT persisted after radical surgery as well in patients subjected to simple cholecystectomy & radical surgery. Nodes positive patients derived greatest benefit from RT (MS 16months versus 5months), patients with liver invasion and negative lymph nodes (T3 N0) also benefited from RT (MS 14 months versus 11 months) whereas stage I disease did not appear to benefit from RT. Another large multicentre study<sup>[47]</sup> reported similar findings and delivery of RT was associated with improved survival (MS 15 months vs 8 months) despite the fact that more patients with loco-regionally advanced disease were subjected to RT. Adjuvant RT improved survival for specific sub-cohorts of patients stage T2 or greater disease, node-positive disease (derived greatest net benefit) [47,48]. Results of these two<sup>[46,47]</sup> large studies have been mentioned in table 7.

#### Adjuvant Concurrent Chemo-radiotherapy

Most published series analysing CRT are small, retrospective singleinstitution series, majority of which seem to indicate potential benefit from adjuvant CRT<sup>[49-52]</sup> (Table 8). In most of these series median RT dose ranged from 45-54Gy along with concurrent 5 floro-uracil, 5 year local control rate ranges from 59%-80% whereas 5 year OS is approximately 33%-45%. There is associated dose response to RT and dose ≥ 54Gy were associated with improved treatment outcomes (100% local control)[49]. A recent meta-analysis[23] showed that as compared to RT alone delivery of CRT was associated significant improvement in survival. Benefit of CRT has been further confirmed in large series within SEER database<sup>[53]</sup> where propensity scoring in 1,137 patients showed that patients with T2 or N1 disease will gain survival benefit from adjuvant CRT, for T2N0 disease normogram predict improvement in 3-year survival from 42% to 51%, similarly for T3N1 disease model predicts that 3-year overall survival would increase from 11% with surgery alone to 21% with adjuvant chemotherapy and 42% with adjuvant CRT.

### **Adjuvant Chemotherapy**

As discussed previously role of adjuvant chemotherapy is even less clear and more studies are warranted to clearly define the role of chemotherapy. The only prospective randomized phase III trial<sup>[54]</sup> conducted till date evaluated adjuvant chemotherapy with 5-fluorouracil and mitomycin versus surgery alone for resected patients with pancreaticobiliary malignancies, accrued 140 patients with GBC. In the trial 5-year overall and disease free survival rates were significantly better in patients receiving chemotherapy (26% vs 14%, p = 0.0367 and 20.3%, vs 11.6%, p = 0.021 respectively). Survival after noncurative resection was significantly better in chemotherapy group (9% vs 0%, p = 0.0226) but no difference was seen when adjuvant chemotherapy was offered to patients operated with curative intent. Multivariate analysis revealed that adjuvant chemotherapy tended to reduce both the risk of death and the risk of disease recurrence. Recent meta-analysis[23] evaluating adjuvant chemotherapy, concurrent chemotherapy, radiotherapy for both gallbladder and bile duct cancers revealed that patients receiving chemotherapy or CRT derived greater benefit than RT alone and greatest benefit of adjuvant therapy was seen in LN-positive disease and R1 disease. Adjuvant chemotherapy may be considered in selected patients with nodal metastasis or T4 disease.

### Summary & Guidelines for Adjuvant therapy in Gall Bladder

#### Carcinomas

(A) CRT preferred adjuvant treatment of choice & recommended for: (1) T2 disease and higher disease; (2) Node after radical surgery; (3) Margin +ve node positive. (B) Adjuvant RT recommended if patient not fit for CRT (indications remain same as CRT). (C) Adjuvant Chemotherapy associated with small benefit in node positive and T4 disease patients.

## Future perspectives and requirement in the future (A) Innovations in surgical intervention

Past few decades have witnessed tremendous development and evolution of liver surgery that has significantly improved management of Cholangiocarcinomas. Extensive liver resection for bile duct carcinoma with jaundice has high morbidity and mortality rates because of postoperative liver failure, to minimize postoperative liver dysfunction, a portal venous branch is embolized before surgery to induce atrophy of the lobe to be resected and hypertrophy of the contralateral lobe. Portal embolization does not produce major side effects when hepatectomy with bile duct resection and lymphadenectomy is performed. For intrahepatic and hilar tumors with extension to liver preoperative portal vein occlusion has allowed safer major liver resection with negative margins, improving survival<sup>[55-56]</sup>. For hilar tumors, aggressive operative approaches, including routine partial hepatectomy, caudate lobe resection, selective pancreatectomy and portal vein resection are associated with higher R0 resection rate and improved survival<sup>[57]</sup>.

### Orthoptic liver transplant (OLT)

For locally unresectable tumors due to invasion of major vessels, bilobar tumor involvement, or insufficient hepatic reserve, total hepatectomy with regional lymphadenectomy and orthoptic liver transplant addresses all margins as well as treats underlying liver disease. Early experience with OLT was not encouraging, with reported 5-year survival ranging from 18 to 25% [58-59]. However more recent reports indicate that 5-year patient survivals of over 80% can be achieved when liver transplantation is combined with neoadjuvant radiation and chemotherapy in patients with early-stage disease<sup>[60]</sup> can be achieved. A recent multi-institutional analysis also confirmed improved outcomes, this study showed that 5-year survival of 45% during most recent era could be achieved with OLT<sup>[61]</sup>. Currently, the role of OLT for locally advanced disease recurrence, and the risk that immunosuppression will accelerate the progression of unidentified tumor however Cholangiocarcinoma that presents at an early, but unresectable stage appear to benefit from OLT when compared to similar patients treated with medical therapy alone.

### (B)Radiation Therapy: Intensity modulated Radiotherapy/ Stereotactic body radiotherapy

Historically, it was difficult to safely treat tumor bed with lymphatics given the large amount of liver; bowel and kidney were encompassed within RT portals. These organs have lesser tolerance to RT doses and substantial dose delivery to such organs can lead to development of significant morbidity. This can be seen as decrease in survival in some older series when patients were subjected to RT, at other instances initial positive impact of RT was lost on longer follow up where RT was associated detriment in survival<sup>[15]</sup>. However modern day radiotherapy has witnessed tremendous change in planning and delivery of RT. Today RT techniques are not only capable of creating highly conformal radiation distributions while sparing adjacent normal tissue sparing but also allow for dose escalation. Use of Modern RT techniques has significantly improved survival

### Sharma A. Adjuvant therapy in biliary cancers

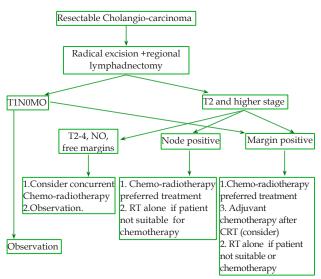


Figure 1 Flow chart depicting management and indications of adjuvant treatment for Resectable Cholangiocarcinomas.

with very limited toxicity when used in adjuvant setting<sup>[17]</sup>. Intensity-modulated radiation therapy (IMRT) is the most commonly used highly conformal technique. A recent study evaluated the feasibility dose escalation with use of IMRT with image guidance in patients with GBC to median doses of 59 Gy<sup>[62]</sup>. Using IMRT, the liver and kidneys were protected to dose constraints well below accepted levels of tolerance. All patients were treated successfully, treatment was well tolerated and only one patient developed grade 3 toxicity.

## Stereotactic body RadiotherapyStereotactic body radiotherapy (SBRT)

SBRT is form of high precision radiotherapy which delivers high doses within a few fractions. SBRT has emerged as an effective treatment option for metastatic lesions of lung and liver as well as primary early stage non-small cell lung cancer in medically inoperable patients. SBRT has been also been explored as a promising treatment alternative for primary liver tumors and other abdominal tumour<sup>[63]</sup>. A recent single-institutional study reported clinical outcomes and toxicity with SBRT for cholangiocarcinoma<sup>[64]</sup>. In this study ten patients with unresectable primary or recurrent cholangiocarcinoma underwent SBRT with median RT dose of 55 Gy in 2-5 fractions, median follow-up was 14 months, freedom from progression within the SBRT field was 100%. OS estimates for the cohort at 6 and 12 months were 83% and 73%, respectively. As regards to toxicity, acute toxicity (nausea, fatigue, and abdominal pain) were common but relatively mild, with no patient developing Grade ≥3 toxicity. Late toxicity was common, abdominal pain was the most common late toxicity but was mild in nature however two patients experienced serious late toxicities; one required placement of biliary stent for Grade 3 biliary stenosis, and another developed Grade 5 liver failure. Another study<sup>[65]</sup> treated 27 patients of unresectable cholangiocarcinomas to a dose of 45 Gy in 3 fractions; median progression-free survival and overall survival were 6.7 and 10.6 months, respectively. With a median follow-up of 5.4 years, 6 patients had severe duodenal/pyloric ulceration and 3 patients developed duodenal stenosis. Similarly another study<sup>[66]</sup> reported long term follow up in unresectable, non-metastatic, hilar cholangiocarcinoma treated with gemcitabine based chemoradiation plus SBRT (30 Gy in 3 fractions), treatment was well tolerated and only one grade 2 late toxicity was recorded. The overall local response was 80%, Median time to progression was 30 months.

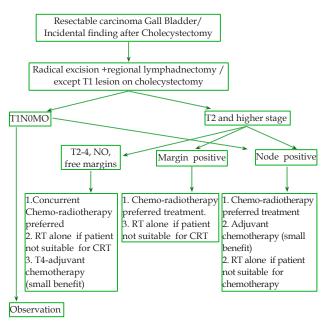


Figure 2 Flow chart depicting management and indications of adjuvant treatment for Resectable Gall Bladder carcinomas

Two-year survival was 80% and four-year survival 30%. SBRT has also been used in neoadjuvant setting before OLT, where a dose of 40 Gy in 5 sessions in given over 7-12 days has shown encouraging results<sup>[67]</sup>.

### **Targeted Therapies**

BTC can be classified as collection of distinct disease that could be segregated both by anatomic location of tumor's origin and underlying genetics. KRAS mutation appear to be common (approximately 40% to 50%) in IHCC as compared to GBC and EHCC whereas ERBB2/HER2 amplifications are found in subsets of GBC<sup>[68]</sup>. A number of novel inhibitors of key oncogenic pathways are in development and have been evaluated in BTCs as single agents, as combined targeted agents, and in combination with classic chemotherapy. Both small-molecule inhibitors of the kinase domain and blocking antibodies have been used in BTC[69-70]. A randomized phase II study evaluating the impact of addition cetuximab to gemcitabine and oxaliplatin suggested benefit in terms of PFS at 4 months<sup>[71]</sup>. Similarily another phase II study of sorafenib as a single agent in 36 treatment-naive patients reported an RR of 6%, median PFS of 2 months, and median OS of 6 month<sup>[72]</sup>. In a single-arm phase II study, the efficacy and safety of bevacizumab, a humanized monoclonal antibody against VEGF, in combination with GEMOX in patients with advanced BTC was established<sup>[73]</sup>, 40% of patients had partial response, median OS was 12.7 months, and the median PFS was 7.0 months. Treatment was generally well tolerated, and grade 3 and 4 toxicities included neutropenia, elevation of transaminases, peripheral neuropathy, hypertension, anorexia, and thrombocytopenia. An interim report of a phase II study of bevacizumab in combination with erlotinib in 34 patients with CC and GBC observed a promising RR of 20% and a time to progression of more than 7 months<sup>[70]</sup>. Combining targeted agents that inhibit different pathways critical to cancer growth and survival represents an attractive strategy and holds promise in future.

Our proposed guidelines for use of adjuvant therapy in carcinoma of the bile duct and GB cancer have been highlighted in table 9 and are depicted in figure 1 and 2. We also emphasis that it is of utmost importance to routinely incorporate patient related factors such as

age, performance status, general condition, pre-existing co-morbidity, financial constraints etc before decision of adjuvant therapy is considered.

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